



**AGENCY PROMOTIONAL EXAMINATION**  
**DEPARTMENT OF BANKING**  
**FINANCIAL EXAMINER**

**ANNUAL \$66,213**  
**SALARY: \$85,597**

**SALARY**  
**GROUP: AR 23**

**APPLICATION CLOSING**  
**DATE: SEPTEMBER 21, 2015**

**EXAM**  
**NO: 150890APDM**

**SPECIAL APPLICATION AND EXAMINATION INSTRUCTIONS APPEAR BELOW**

**PURPOSE OF CLASS:** In the Department of Banking this class is accountable for independently performing a full range of tasks in the examination and investigation of financial entities regulated by the Department of Banking.

**MINIMUM QUALIFICATIONS REQUIRED**

THIS **AGENCY PROMOTIONAL EXAMINATION** IS OPEN TO ANY **CURRENT** EMPLOYEE OF THE **DEPARTMENT OF BANKING** WHO BY **SEPTEMBER 21, 2015** HAS PERMANENT STATE STATUS\*, SIX MONTHS SERVICE IN THE **DEPARTMENT OF BANKING**, AND THE FOLLOWING EXPERIENCE AND TRAINING:

**GENERAL EXPERIENCE:** Six years of experience in the regulation of financial entities or in accounting, auditing, banking or finance. **NOTE:** Financial entities are defined as domestic and international banks, credit unions, broker-dealers, investment advisors, mortgage companies and consumer lenders.

**SPECIAL EXPERIENCE:** Two years of the General Experience must have been at or above the paraprofessional level. **NOTE:** This will be interpreted as work requiring the exercise of some independent judgment in the application of basic principles and practices of accounting, auditing, banking, finance or the regulation of financial entities.

**SUBSTITUTION ALLOWED:** 1) College training may be substituted for the General Experience on the basis of fifteen semester hours equaling one-half year of experience to a maximum of four years for a Bachelor's degree. 2) A Master's degree in Business, Accounting, Finance or closely related field may be substituted for one additional year of the General Experience.

**SPECIAL REQUIREMENTS:** 1) Incumbents in this class may be required to travel. 2) Incumbents in this class may be required to possess and retain a valid Motor Vehicle Operator's license.

**KNOWLEDGE, SKILLS AND ABILITIES:** Knowledge of the organization, operations and business practices of financial entities such as banks, credit unions, trust companies, broker-dealers, investment advisors, mortgage companies and other non-bank grantors of credit; knowledge of principles and practices of accounting, auditing and finance; some knowledge of laws applicable to regulated entities; some knowledge of regulatory and investigative procedures and techniques; ability to interpret and apply applicable statutes and regulations; oral and written communication skills; interpersonal skills; ability to utilize computer software.

**THE EXAMINATION WILL BE COMPOSED OF:**

**PART**  
**EXPERIENCE AND TRAINING**

**WEIGHT**  
**100%**

**APPLICATION/EXAMINATION INSTRUCTIONS:** In order to be considered for admittance into this examination, you must complete all parts of the examination application (CT-HR-12) detailing how you meet the minimum experience and training requirements stated above. Make certain your application form clearly details your employment history, including job titles, dates of employment and actual job duties as well as college degrees earned and current licenses and certifications. **THIS MATERIAL WILL CONSTITUTE THE EXAMINATION FOR THIS CLASS.** Your examination will only be scored if you meet the minimum experience and training requirements outlined above and your completed application form is date stamped by DAS/Human Resources or postmarked by **SEPTEMBER 21, 2015**. Mail your application to DAS/Human Resources, Room 404, State Office Building, 165 Capitol Avenue, Hartford, Connecticut 06106-1658 (**Secure Fax #860-622-2910**). **If faxing materials, keep a copy of your completed application form and exam materials and the fax transmittal receipt for your records. Make certain that your application form and exam materials are complete and transmitted correctly and without error. Incomplete faxes or faxes received blank because pages were faxed upside down will not be accepted.** Due to the large number of applications received, we cannot confirm receipt of applications. **Examination scores will be mailed by NOVEMBER 3, 2015. A separate application form must be submitted for each exam you are applying for.**

**FORMS:** Application forms (CT-HR-12) and exam announcements are available from the Department of Administrative Services (<http://das.ct.gov/employment>) and at the Department of Banking.

*\*For employees in the classified service, permanent status is obtained after successful completion of a working test period. For employees in the unclassified service, permanent status is obtained after serving in a position for at least six months (full time or full time equivalent).*

**AN AFFIRMATIVE ACTION/EQUAL OPPORTUNITY EMPLOYER**

The State of Connecticut is an equal opportunity/affirmative action employer and strongly encourages the applications of women, minorities, persons with disabilities and military veterans.